

INTERNAL AUDIT PLAN PROFILE 2018-2019

APRIL - SEPTEMBER

AUDIT	COMMENTS ON PROGRESS OF AUDIT
1 General data protection regulations	completed - report to Audit Committee 19/09/18
2 Financials e-ordering	in progress - complete by 30/0/9/18 -report to Audit Committee 12 December 2018
3 New income system	in progress - complete by 30/0/9/18 -report to Audit Committee 12 December 2018
4 Garden waste	completed - report to Audit Committee 19/09/18
5 ICT	outstanding - c/fwd. ICT risk assessment to be finalised.
6 Council Tax - liability, discounts, exemptions	completed - report to Audit Committee 19/09/18
7 Business rates - reliefs and exemptions	in progress - complete by 30/0/9/18 -report to Audit Committee 12 December 2018
8 Disabled facility grant - additional funding certification	completed - report to Audit Committee 19/09/18

Corporate Improvement

Stage 1-Draft contract & evaluation matrix sent for review by client

Homeless storage contract

Trade Waste Outstanding Audit Recommendation Review Complete - reported to Audit Committee 18 July 2018

Internal audit quality assurance and improvement

Workshop prep and presentation Complete - workshop held 11 May 2018. (quarterly meetings also held with Chair and Lead Member)

Document Changes Complete - changes to assignment brief, working papers, final reports etc (new format agreed at workshop)

Consultancy & Advice

S106, Cheques and GDPR Advice

Follow-up Reviews

Quarter 1 Follow Ups Complete - reported to Audit Committee 18 July 2018

Quarter 2 Follow Ups Complete - report to Audit Committee 19 September 2018

Audit work brought forward

UBICO - Fleet management 2017-18 Draft - report to Audit Committee 12 December 2018

Public Services Centre 2017-18 Complete - reported to Audit Committee 18 July 2018

HB 2017-18 Complete - reported to Audit Committee 18 July 2018